

FORM PTO-1390  
(REV. 12-2001)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

4883/PCT

U.S. APPLICATION NO. (if known, see 37 CFR 1.55)  
unknown to be assigned

10/540000

INTERNATIONAL APPLICATION NO.  
PCT/DE2003/003326

INTERNATIONAL FILING DATE  
08 October 2003 (08.10.2003)

PRIORITY DATE CLAIMED  
20 December 2002 (20.12.2002)

**TITLE OF INVENTION**

Electromechanical Sub-Assembly

**APPLICANT(S) FOR DO/EO/US**

Hermann FIRNER, Robert WEBER, Thomas URBANEK

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). The Translation
  - a. ☒ is attached hereto, along with a Translator's Declaration. is accurate.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)), unexecuted copy - 3 pages.
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO-1449, 3 English Abstracts, copy of Intl. Search Report
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☒ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
  - a. a return receipt postcard;
  - b. Form PTO-2038 to cover the filing fee;
  - c. 2 Figs. on 1 sheet formal drawings

**NOTE:** The priority of German Patent Application 102 59 850.9, filed in the Fed. Republic of Germany on December 20, 2002 is claimed for the present Application under 35 U.S.C. §119.

**NOTE:** The entire disclosure of PCT International Application PCT/DE2003/003326 is incorporated herein by reference.

USPS EXPRESS MAIL  
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JUNE 17 2005

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U.S. APPLICATION NO. <b>10/540000</b> unknown		INTERNATIONAL APPLICATION NO. PCT/DE2003/003326		ATTORNEY'S DOCKET NUMBER 4883/PCT	
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21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**  
 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... ~~XXXXXX~~

National Phase filing fee (\$300), Search fee (\$400), Examination fee (\$200) ..... = \$ 900.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... ~~XXXXXX~~

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... ~~XXXXXX~~

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... ~~XXXXXX~~

**ENTER APPROPRIATE BASIC FEE AMOUNT = 900.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	12 - 20 =	0	x \$18.00	\$ 0
Independent claims	1 - 3 =	0	x \$84.00	\$ 0
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 900.-
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0
<b>SUBTOTAL =</b>				\$
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0
<b>TOTAL NATIONAL FEE =</b>				\$
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0
<b>TOTAL FEES ENCLOSED =</b>				\$ 900.00
				Amount to be refunded: \$
				charged: \$

Calculation of fees to be paid by applicant.

PTO USE ONLY

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any deficiency in or any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0507. ~~Any deficiency in or~~

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

  

**The Power of Attorney and the Correspondence Address for this Application are to**  
CUSTOMER NO.: 021553.

Walter Fasse  
 SIGNATURE

Walter F. Fasse - 6/17/05  
 NAME

36132  
 REGISTRATION NUMBER

USPS EXPRESS MAIL  
 EV 636 851 417 US  
 JUNE 17 2005